

Atty. Dkt. No. 081356-0262

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Nobuaki TAKAHASI et al.

Title:

ANTI-CD40 ANTIBODY MUTANTS

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Appl. No.:

10/584,345

Filing Date:

12/24/2004

371(c) Date:

2/26/07

Examiner:

Unassigned

Art Unit

Unassigned

Confirmation

3671

Number:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

An English language abstract of WO 2005/063981 is submitted herewith. Neither a full English translation nor a corresponding English language counterpart of WO 2005/063981 is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language document (37 CFR §1.98 and MPEP §609).

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPFP 8609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

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15 June 2007

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ather approved Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/PTO Complete If Known INFORMATION DISCLOSURE Application Number 10/584.345 JUN 15 2007 STATEMENT BY APPLICANT Filing Date 12/24/2004 Nobuaki TAKAHASHI Date Submitted: June 15, 2007 First Named Inventor Art Unit Unassigned (use as many sheets as necessary) Examiner Name Unassigned of 1 Attorney Docket Number 081356-0262

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code ² (if known)				
	B1	2003/0211100	11/13/2003	BEDIAN et al.		
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (# Anown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Te.	
	B2	WO 2005/063981 A1	7/14/2005	KIRIN BEER KABUSHIKI KAISHA			

	_	NON PATENT LITERATURE DOCUMENTS	_	
Examiner Initials*	Cite No.1	Include name of the author (in CAPTAL LETTERS), sile of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	В3	Tong AW et al., "Anti-CD40 antibody binding modulates human multiple myeloma donogenicity in vitro", BL000, Vol. 84, Issue 9, pp. 3026-3033, 11/1/1994.		
	B4	M. URASHIMA et al., "CD40 ligand triggered interleukin-6 secretion in multiple myeloma", BLOOD, Vol. 85, Issue 7, pp. 1903-1912, 4/1/1995.		
	B5	Yu-Tzu TAI et al., "CD40 induces human multiple myeloma cell migration via phosphatidylinositol 3-kinase/AKT/NF ₄ B signaling", BL000, April 1, 2004, Vol. 101, No. 7, pp. 2762-2769.		
	В6	T. HAYASHI et al., "Recombinant humanized anti-CD40 monodonal antibody triggers autologous antibody-dependent cell mediated cytotoxicity against multiple myeloma cells", Br J. Haematol. May 2003, 121(4), pp. 592-596.		

Examiner Signature	/Phillip Gambel/	08/16/2009	Date Considered	JBHS2000
*EXAMINER: Initial	if reference considered, whether or not ci	tation is in conformance with MPEP 6	09. Draw line through citation if ne	ot in conformance and not considered.

Include copy of this form with rest communication to applicant. I Applicant's unique citation designation number (optional). 2 See Priority Control Codes of USPTO Patent Documents at www.upic.op or or MEPS 601.04.5 Einst Cific that lassed the document, by the not-electrop code (VIPPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the region of the Emperor must precede the sental number of the patent document. 5 Kind of document by the appropriate symbols of the patent of the patent of the patent document. 5 Kind of document by the appropriate symbols are considered to the patent of the patent document. 5 Kind of document by the appropriate symbols are considered to the patent of the patent